



DECISION OF THE GOVERNING BOARD OF THE ECSEL JOINT UNDERTAKING

Amending the Joint Undertaking's budget for 2014

THE GOVERNING BOARD OF THE ECSEL JOINT UNDERTAKING,

Having regard to the Statutes annexed to Council Regulation (EC) 561/2014 of 6 May 2014 on the establishment of the 'ECSEL Joint Undertaking', and in particular Articles 21.4 and article 15 of its Financial Rules thereof;

Having regard to Decision ECSEL-GB-2014-D.08 adopting the Joint Undertaking's budget for 2014;

Having regard to Decision ECSEL-GB-2014-D.25 amending the Work Plan for 2014;

WHEREAS:

- (1) The Governing Board has amended the work plan for 2014 (decisions ECSEL-GB-2014-D.21 and 25), including an update of the expenditure of the call for proposals which should be reflected in the budget;
- (2) Some modifications are also necessary in titles 1 and 2,
- (3) The amended annual budget for the year 2014 should be adopted by the Governing Board;

HAS ADOPTED THIS DECISION:

Article 1

The Joint Undertaking's amended budget for 2014, as annexed to this Decision, is hereby adopted.

Article 2

This Decision shall enter into force upon its adoption by the Governing Board.

Done at Brussels, on 8 December 2014,

For the Governing Board,

Heinrich Daembkes
Chairperson of the Governing Board

Annex: Amended budget for 2014

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Introduction

Increases on this amended budget only concerns two budget lines:

Chapter 12 Expenditures relating to Staff recruitment

Title 3 Operational expenditures

Other adjustments are transfers between chapters within the same title approved by the Executive Director in the framework of article 10.2 of ECSEL Financial Rules.

1. REVENUE*a) Receipts for running costs*

The changes in the revenues only affect the EC contribution for the running costs and for the operational costs.

Changes in the revenues:

REVENUES	2014		
	Adopted	Amended	Differences
1 REVENUE FROM FEES AND CHARGES			
2 EU CONTRIBUTION	135,410,000.00	155,460,000.00	20,050,000.00
- of which Administrative (Title 1 and Title 2)	1,210,000.00	1,260,000.00	50,000.00
- of which Operational (Title 3)	135,000,000.00	155,000,000.00	20,000,000.00

Total Revenues

REVENUES	2014		
	Implemented	To be implemented and adopted	Total
1 REVENUE FROM FEES AND CHARGES			
2 EU CONTRIBUTION	1,000,000.00	155,460,000.00	156,460,000.00
- of which Administrative (Title 1 and Title 2)	1,000,000.00	1,260,000.00	2,260,000.00
- of which Administrative Legacy from AENEAS and ARTEMIS-IA		-800,000.00	-800,000.00
Net Administrative	1,000,000.00	460,000.00	1,460,000.00
- of which Operational (Title 3)		155,000,000.00	155,000,000.00
3 OTHER CONTRIBUTIONS	1,899,523.26	1,754,976.74	3,654,500.00
<i>AENEAS regular contribution</i>	<i>1,099,523.26</i>	<i>431,376.74</i>	<i>1,530,900.00</i>
<i>Legacy AENEAS</i>		<i>500,000.00</i>	<i>500,000.00</i>
<i>ARTEMIS-IA regular contribution</i>	<i>800,000.00</i>	<i>418,900.00</i>	<i>1,218,900.00</i>
<i>Legacy ARTEMIS-IA</i>		<i>300,000.00</i>	<i>300,000.00</i>
<i>EPOSS regular contribution</i>	-	<i>104,700.00</i>	<i>104,700.00</i>
TOTAL REVENUES	2,899,523.26	157,214,976.74	160,114,500.00

2. EXPENDITURES*Changes in the expenditures*

EXPENDITURE	Commitment appropriations		
	Adopted	Amended	Differences
12 Expenditure relating to Staff recruitment	30,000.00	80,000.00	50,000.00
20 Rental of buildings and associated costs	292,576.12	242,576.12	- 50,000.00
21 Information and communication technology	68,026.81	118,026.81	50,000.00
27 Innovation	50,000.00	10,000.00	- 40,000.00
28 Communication	449,543.10	489,543.10	40,000.00
Title 3 Operational expenditure	135,000,000.00	155,000,000.00	20,000,000.00
			-

Changes:

- Chapter 12 increased with 50.000€ because additional costs were assigned to the JU for the recruitment of the Executive Director (costs linked to the publication of the vacancy notice)
- 50.000€ were transferred from chapter 20 (Rental of building) to chapter 21 (IT costs) and are justified because of the end of the payments for the works and additional needs in IT.
- 40.000€ were transferred from chapter 27 (Innovation) to chapter 28 (Communication) to cover the different SLAs in this field.
- Additional 20.000.000€ are devoted to reinforce the EU contribution for the Call for proposals 2014.

Remark: all additional costs (20.050.000€) are borne by the European Commission.

Total expenditures

EXPENDITURE	Commitment appropriations		
	Implemented 2014	To be Implemented and adopted	Total 2014
Title 1	1,333,732.67	1,730,767.33	3,064,500.00
Staff Expenditure			
11 Salaries & allowances	1,288,544.10	1,537,455.90	2,826,000.00
12 Expenditure relating to Staff recruitment	-	80,000.00	80,000.00
13 Missions & Representation	44,651.95	82,348.05	127,000.00
14 Socio-medical infrastructure & training	536.62	30,963.38	31,500.00
Title 2	535,681.43	1,514,318.57	2,050,000.00
Infrastructure and operating expenditure			
20 Rental of buildings and associated costs	327,423.88	292,576.12	620,000.00
21 Information and communication technology	41,973.19	68,026.81	110,000.00
22 Movable property and associated costs	6,890.83	13,109.17	20,000.00
23 Current administrative expenditure	515.90	29,484.10	30,000.00
24 Postage / Telecommunications	33,698.80	36,301.20	70,000.00
26 R&D support (evaluations and reviews)	74,721.93	525,278.07	600,000.00
27 Innovation	-	50,000.00	50,000.00
28 Communication	50,456.90	449,543.10	500,000.00
29 Audits	-	50,000.00	50,000.00
Total Running Costs	1,869,414.10	3,245,085.90	5,114,500.00
Title 3		155,000,000.00	155,000,000.00
Operational expenditure			
Funds entrusted by ECSEL PS			p.m
TOTAL EXPENDITURE	1,869,414.10	158,245,085.90	160,114,500.00
Payment appropriations			
Operational:	32,847,583.86	71,296,666.14	104,144,250.00

a) *Running Costs*

Title 1: Staff

- *Staff (Budget Title 1 Chapter 11)*

This appropriation is intended to cover the cost of remuneration of temporary and contractual staff, in accordance with the Staff Regulations¹. It includes the costs of the employers' social security contributions in accordance with the Staff Regulations of officials and conditions of employment of other servants of the European Communities.

- *Recruitment costs (Budget Title 1 Chapter 12)*

This appropriation is to cover the expenditure arising from the search for suitable candidates and subsequent administration costs of the recruitment of new staff members.

- *Socio medical infrastructure & Training (Budget Title 1 Chapter 14)*

This appropriation is to cover the training expenses for the staff.

- *Missions and representation (Budget Title 1 Chapter 17)*

The missions and representation appropriation is intended to cover expenditure for transport, the payment of daily mission allowances and other expenses incurred by staff in the interest of the service.

Title 2: Infrastructure and operating expenditure

- *Building and associated costs (Budget Title 2 Chapter 20)*

The appropriation includes the costs related to rental of the office space and related charges.

- *IT equipment and services (Budget Title 2 Chapter 21)*

This appropriation is intended to cover the purchase of computing and other similar electronic office equipment and hardware as well as the installation, configuration and maintenance of this equipment; the procurement and maintenance of program packages and software necessary for the normal operation of the Joint Undertaking; the expenditure on services contracts for analysis, programming and technical assistance necessary for the JU, the cost of external services contracts to manage and maintain the data and systems, training and other

¹ Staff regulations 2013

support activities². SLAs with the Commission, necessary for the use of the ABAC accounting system (SLA with DG BUDG), as well as for the provision of IT equipment/services (SLA with, DIGIT, REA, RTD and/or CNECT) are in place. This line also includes costs specific to the secure data communication needs of the JU to access the ABAC accounting system.

- *Movable property (Budget Title 2 Chapter 22)*

This chapter includes the necessary resources to cover the purchase, maintenance and replacement of furniture for the White Atrium building, either shared with the other Joint Undertakings, or for ECSEL only. For 2014 includes also the new office reorganisation, archive spaces and new meeting rooms in the main floor.

- *Current administrative expenses (Budget Title 2 Chapter 23)*

This chapter includes costs of office supplies, stationery and other consumables necessary for the operation of the office as well as any costs incurred for any mandatory translations. For 2014 new stationary, badges, office material for closed projects are also foreseen.

- *Telecommunications (Budget Title 2 Chapter 24)*

This chapter includes fixed and mobile phones, fax and other telecommunication expenditures

- *R & D Support (Budget Title 2 Chapter 26)*

- *Evaluation*

Estimation of number of experts and calls:

2014	2015
50	90

- *Reviews*

Estimation of number of reviews:

2014	2015
65	62

² The costs of IT hardware and information systems are directly related to the staff working in the JU. As they are annual costs, we consider as a basis of calculation the staff costs on a yearly basis for accounting purposes because the costs of equipment are allocated on a yearly basis.

- *Innovation (Budget Title 2 Chapter 27)*

In order for ECSEL to achieve its ambitious objectives it needs to promote the creation of environments that favour innovation, certain activities that are not directly R&D related (i.e. not fundable from the Operational budget) are foreseen. The purpose is to ensure that the results of the R&D efforts engaged by all stakeholders translate into new products and market opportunities in a fast and effective way. ECSEL should ensure that there is a clear and obvious value chain from the first steps of research all the way down to designing products and services.

- *Communication (Budget Title 2 Chapter 28)*

Communication activities of ECSEL address on the one hand communication to the ECSEL community and on the other, special communications activities toward the European institutions that are vital to the existence of the JU. The communication strategy is defined by the ECSEL-JU Governing Board.

- *Audit (Budget Title 2 Chapter 29)*

This appropriation is covering the possible costs for internal audit capability, external auditors and ex-post audits.

3. APPENDIX: STAFF ESTABLISHMENT PLAN

The Staff establishment plan gives an overview and forecast of annual staff positions for years 2014 and 2015.

a) General presentation of the staff policy followed by the JU

From a general point of view, the Staff of the ECSEL Joint Undertaking shall consist of temporary staff (TA) and contract staff (CA) which contracts are governed by the Staff Regulations of officials and conditions of employment of other servants of the European Union.

The ECSEL Joint Undertaking may also make use of seconded national experts and trainees, which selection and working conditions are subject to a decision of the Governing Board.

In accordance with the provisions of Article 19.7 of the Council (EU) Regulation 561/2014 of 06 May 2014 establishing the ECSEL JU, the merger of the ARTEMIS JU and ENIAC JU into the new ECSEL JU does not affect the rights and obligations of staff engaged by the ARTEMIS JU and ENIAC JU.

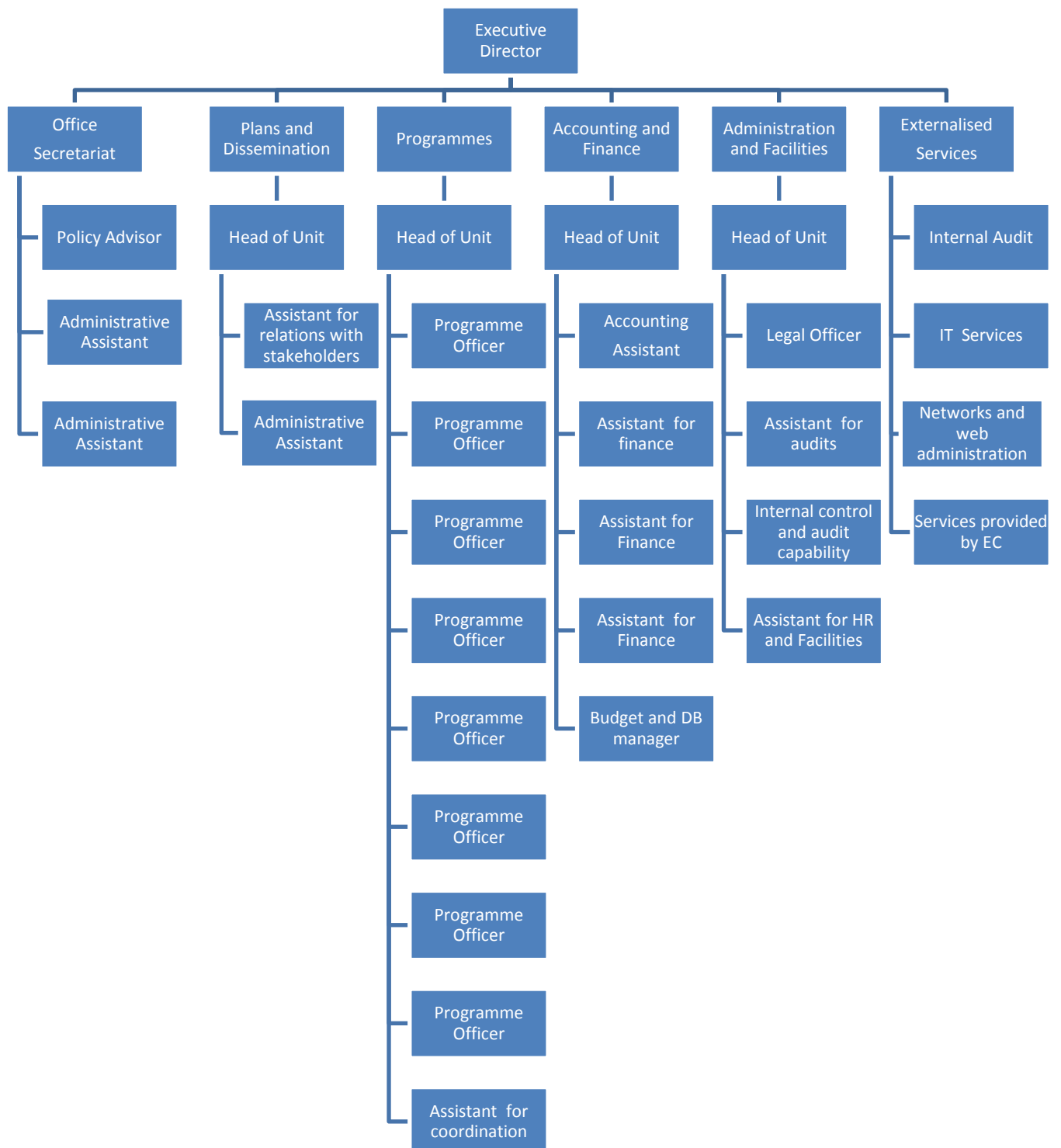
The staff policy followed by the ECSEL JU shall consist of:

- a set of implementing rules giving effect to the Staff Regulations of officials and conditions of employment of other servants of the European Union, to be adopted by the Governing

Board in accordance with Article 110 of the Staff Regulations (after consulting the Staff Committee and with prior agreement of the Commission).

- a set of internal rules organising the day to day administration of the Office and responsibilities and tasks entrusted to staff, to be notified by the appointing authority.

b) Organisation chart 2014 of ECSEL JU



c) Establishment plan

Executive Director (TA-AD 14)

The Executive Director is the chief executive responsible for the day-to-day management of the ECSEL Joint Undertaking and its legal representative. He shall perform his tasks with independence and shall be accountable to the Governing Board.

Head of Programme (TA-AD 11)

The Head of Programme supports the Executive Director in all his work and decisions, upon request in his mission in respect of technical issues. This includes preparation of calls for proposals/tenders and Expert assignment, Grant Agreement management, National points of contact and project coordinators.

Head of Plans and Dissemination (TA-AD11)

The Head of Plans and Dissemination supports the Executive Director in all his work and decisions related to the field of Planning and Dissemination. This includes coordination of the relationship and engagement with the stakeholders, implementation of the Communication strategy, corporate identity, Portfolio analysis and preparation of MSAP/WP and AAR/QR

Head of Administration and Facilities (TA-AD11)

The Head of Administration and Facilities co-ordinates facilitates and implements the administrative and financial work of the Joint Undertaking allowing that objectives are achieved smoothly and that the expected results are delivered on time. This includes Human Resources, legal affairs, contracts including agreements with MS, boards' secretariat, internal rules and internal control, internal audit capability, ex-post audits and IT/Building/facilities.

Head of Accounting and Finance (TA-AD11)

The Head of Accounting and Finance is responsible of the financial team in charge of preparation and implementation of the financial transactions including Commitments, payments, cost recognition, annual funding agreements, cash-flow management and guarantee fund. He is also the accounting officer of the JU as appointed by the Governing Board.

Programme Officer (TA-AD8)

The Programme officers organise and are involved in the evaluation of proposals (selection of experts, logistics etc.), manage the process of selection of projects, monitor and review the execution of grant agreements, carry out project reviews and ensure compliance with the prevailing rules and regulations. They also negotiate strategic, scientific, managerial and financial aspects of research contracts and amendments.

Legal Officer (TA-AD8)

The legal officer advises and assists the Executive Director, the Programme Manager and the Head of Administration & Finance in their missions in respect of legal issues, including preparation and follow up of the administrative arrangements with the national funding authorities, controlling the application and potential revision of the JU financial rules and of the grant agreements, and controlling the application and potential revision of the

implementing rules for the staff. She is also the liaison with DG HR, DG Legal Service and any other relevant Directorate-General.

d) Staff expenditure justification for 2014

Human Resources	2013		2014	2015
	Authorised under the EU Budget	Actually filled as of 31/12/2013	estimate	DB estimate
Establishment plan posts: AD	15	14	14	14
Establishment plan posts: AST	0	0	0	0
Total Establishment plan posts	15	14	14	14
Contract Agents	15	13	13	16
Seconded National Experts	1	1	1	1
Total staff	31	28	28	31

External personnel detail

Contract Agents	Authorised 2013	Recruited as of 31/12/2013	2014 estimate	DB 2015 estimate
Function Group IV	0	0	0	4
Function Group III	9	8	8	7
Function Group II	6	5	5	5
Function Group I	0	0	0	0
Total	15	13	13	16

Staff costs: For 2014, the staff costs are calculated based on historical data available for the actual filled posts and gives a total estimated of 2 826 000€.

e) Establishment plan for 2014 and indicative adaptation in the following year 2015

Category and grade	Establishment plan in voted EU Budget 2013		Modifications envisaged in establishment plan 2013 in application of flexibility rule ³		Establishment plan in voted EU Budget 2014		Modifications envisaged in establishment plan 2014 in application of flexibility rule		Establishment plan in Draft EU 2015	
	officials	TA	officials	TA	officials	TA	officials	TA	officials	TA
AD 16										
AD 15										
AD 14		2				1				1

³ *Ibid.*

AD 13										
AD 12										
AD 11		4				4				4
AD 10										
AD 9						1				2
AD 8		9				8				7
AD 7										
AD 6										
AD 5										
Total AD	0	15	0	0	0	14	0	0	0	14
Total AST/SC										
TOTAL	0	15	0	0	0	14	0	0	0	14